PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE action Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the Pape Application Number 10/521202-Conf. #8639 Filing Date **TRANSMITTAL** January 12, 2005 First Named Inventor **FORM** Michael SCHONHERR Art Unit 1755 (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned Attorney Docket Number **BGI-175US** Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to TC Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer Identify below): Request for Corrected Filing **Express Abandonment Request** Request for Refund Receipt; copy of incorrect Filing Receipt with change marked; copy CD, Number of CD(s) Information Disclosure Statement of ADS filed with original application on 1/12/05; Return Postcard Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name LAHIVE & COCKFIELD, LLP Signature Printed name

	ice of Initial Patent Examination, Comm	U.S. Postal Service with sufficient postage as First Class Mail, nmissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-	-
1 100, 011 1110 0010 01101111 001011	· / C/~ .	<i>1</i>	
	/ 7X <i>C</i> Y / /	Ω	
Dated: July 27, 2005	Signature:	OMARIA accotripe Zacharakis, Ph.D., J.D.)
			•
		•	

Reg. No.

56,266

Maria Laccotripe Zacharakis, Ph.D., J.D.

July 27, 2005

Date

I,hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: July 27, 2005

Signature: _______(Maria Laccotripe Zacharakis, Ph.D., J.D.)

Docket No.: BGI-175US

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of: Michael Schonherr et al.

Application No.: 10/521202

Confirmation No.: 8639

Filed: January 12, 2005

Art Unit: 1755

For: PREPARATIONS CONTAINING AT LEAST ONE

Examiner: Not Yet Assigned

DIFORMATE

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicants, a copy of which is attached hereto with the change marked in red, has an error in the ninth inventor's country of residence. As evidenced by the attached copy of the Application Data Sheet, as filed with the original application on January 12, 2005, please correct the ninth inventor's country of residence from "GERMANY" to "NORWAY".

Accordingly, the ninth inventor's information should appear as follows:

Leif Hjornevik, Skien, NORWAY

Applicants additionally request that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Applicants believe that no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 12-0080, under Order No. BGI-175US from which the undersigned is authorized to draw.

Dated: July 27, 2005

Respectfully submitted,

By Maria Laccotripe Zacharakis, Ph.D./J.D.

Registration No.: 56,266

LAHIVE & COCKFIELD, LLP

28 State Street

Boston, Massachusetts 02109

(617) 227-7400

(617) 742-4214 (Fax)

Attorney/Agent For Applicant

AUG 0 1 2005

TES PATENT AND TRADEMARK OFFICE

1310

States Patent and Trademark Office OMMISSIONER FOR PATENTS
0. Box 1450
lexandria, Vinginia 22313-1450

www.mbm.gov				
ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS	

(c) DATE

FILING OR 371

ART UNIT FIL FEE REC'D

10/521,202 01/12/2005

APPL NO.

1755

BGI-175US

CONFIRMATION NO. 8639

Date Mailed: 05/13/2005

21

FILING RECEIPT

OC000000016014760

00959 LAHIVE & COCKFIELD, LLP. 28 STATE STREET **BOSTON, MA 02109**

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael Schonherr, Frankenthal, GERMANY; Jorn Karl, Mannheim, GERMANY; Alexander Hauk, Ludwigshafen, GERMANY; Gerd Diebold, Reutlingen, GERMANY; Andreas Habich, Speyer, GERMANY; Robert Lenz, Hochdorf-Assenheim, GERMANY; Anna Valeska Lohmann, Limburgerhof, GERMANY; Volker Schmidt, Mannheim, GERMANY; Leif Hjornevik, Skien, GERMANY; NORWAY

Assignment For Published Patent Application

Basf Aktiengesellschaft

Power of Attorney: The patent practitioners associated with Customer Number <u>00959</u>.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/07250 07/07/2003

Foreign Applications

GERMANY 10231891.3 07/12/2002 GERMANY 10231895.6 07/12/2002 GERMANY 10253594.9 11/15/2002

Projected Publication Date: 08/18/2005

RECEIVED IIVE & COCKFIELD DOCKE

Non-Publication Request: No

Early Publication Request: No

Title

Preparations containing at least one diformate

Preliminary Class

106

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Application Information

Application Type:: Regular

Subject Matter:: Utility

Suggested Group Art Unit:: N/A

CD-ROM or CD-R?:: None

Sequence submission?:: None

Computer Readable Form (CRF)?:: No

Title:: PREPARATIONS CONTAINING AT LEAST

ONE DIFORMATE

Attorney Docket Number:: BGI-175US

Request for Early Publication?:: No

Request for Non-Publication?:: No

Small Entity?:: No

Petition included?:: No

Secrecy Order in Parent Appl.?:: No

Applicant Information

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Germany

Status:: Full Capacity

Given Name:: Michael

Family Name:: SCHONHERR

City of Residence:: Frankenthal

Country of Residence:: Germany

Street of mailing address:: Conrad-Linck-Str. 18

City of mailing address:: Frankenthal

Country of mailing address:: Germany

Postal or Zip Code of mailing address:: 67227

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Germany

Status::

Full Capacity

Given Name::

Jörn

Family Name::

KARL

City of Residence::

Ludwigshafen

Country of Residence::

Germany

Street of mailing address::

Sauerbruchstrasse 22

City of mailing address::

Ludwigshafen

Country of mailing address::

Germany

Postal or Zip Code of mailing address::

67063

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Germany

Status::

Full Capacity

Given Name::

Alexander

Family Name::

HAUK

City of Residence::

Ludwigshafen

Country of Residence::

Germany

Street of mailing address::

Schnabelbrunnengasse 26

City of mailing address::

Ludwigshafen

Country of mailing address::

Germany

Postal or Zip Code of mailing address::

67071

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Germany

Status::

Full Capacity

Given Name::

Gerd

Family Name::

DIEBOLD

City of Residence::

Reutlingen

Country of Residence::

Germany

Street of mailing address::

Pliezhäuser Str. 28

City of mailing address::

Reutlingen

Country of mailing address::

Germany

Postal or Zip Code of mailing address::

72768

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Germany

Status::

Full Capacity

Given Name::

Andreas

Family Name::

HABICH

City of Residence::

Speyer

Country of Residence::

Germany

Street of mailing address::

Martinskirchweg 26

City of mailing address::

Speyer

Country of mailing address::

Germany

Postal or Zip Code of mailing address::

67346

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Germany

Status::

Full Capacity

Given Name::

Robert

Family Name::

LENZ

City of Residence::

Hochdorf-Assenheim

Country of Residence::

Germany

Street of mailing address::

Neue Str. 13

City of mailing address::

Hochdorf-Assenheim

Country of mailing address::

Germany

Postal or Zip Code of mailing address::

67126

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Germany

Status::

Full Capacity

Given Name::

Anna

Middle Name:: Valeska

Family Name:: LOHMANN

City of Residence:: Limburgerhof

Country of Residence:: Germany

Street of mailing address:: Mainstr. 2

City of mailing address:: Limburgerhof

Country of mailing address:: Germany

Postal or Zip Code of mailing address:: 67117

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Germany

Status:: Full Capacity

Given Name:: Volker

Family Name:: SCHMIDT

City of Residence:: Mannheim

Country of Residence:: Germany

Street of mailing address:: Bellenstraße 40

City of mailing address:: Mannheim

Country of mailing address:: Germany

Postal or Zip Code of mailing address:: 68163

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Norway

Status:: Full Capacity

Given Name:: Leif

Family Name:: HJORNEVIK

City of Residence:: Skien

Norway Norway

Street of mailing address:: St. Hansgt. 3

City of mailing address:: Skien

Country of mailing address:: Norway

Postal or Zip Code of mailing address:: 3714

Correspondence Information

Correspondence Customer Number::

00959

Representative Information

Representative Customer Number::

00959

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/EP2003/0072	07/07/03
		50	•

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::
Germany	10231891.3	07/12/02	Yes
Germany	10231895.6	07/12/02	Yes
Germany	10253594.9	11/15/02	Yes

Assignee Information

Assignee name::

BASF Aktiengesellschaft

City of mailing address::

Ludwigshafen

Country of mailing address::

Germany

Postal or Zip Code of mailing address::

67056